



IPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group art unit: 2822
Examiner: Zandra V. Smith

In Re PATENT APPLICATION of:

Applicant(s): Shinichi KOHDA)
Serial No.: 10/578,477)
Filing date: May 8, 2006)
For: SEMICONDUCTOR LASER AND)
METHOD FOR MANUFACTURING)
THE SAME)
Atty. ref.: KAW 131NP)

)
INFORMATION
DISCLOSURE
STATEMENT AND
CERTIFICATION

September 28, 2007

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Examiner Smith:

This is an Information Disclosure Statement submitted in compliance with the timing requirements of 37 C.F.R. § 1.97(b)(3), i.e. prior to a first Office Action on the merits.

Attached is a copy of a European patent document, which was first cited in an Office Action (an English-language translation of the Action is attached) issued by the State Intellectual Property Office of the People's Republic of China on August 3, 2007.

▲ The Office Action was issued in connection with the corresponding Chinese patent application. The document is listed on the attached form PTO-1449.

It is hereby certified that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Since this Information Disclosure Statement is being filed before a first Office Action on the merits, no fee is required, and the requirements of 37 C.F.R. §§ 1.97 and 1.98 are deemed to be fully met as to all listed documents. Consideration of the listed document is respectfully requested.

Should any fee be required, however, the Director is hereby authorized to charge the fee to our Deposit Account No. 18-0002, and is requested to advise us accordingly.

Respectfully submitted,



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September 28, 2007

Date

RHB/vm